Imposed vs. chosen change: A vision for the future of the pavement enterprise

Rasmus S. Nordal Lecture

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10<sup>th</sup> Bearing Capacity of Roads, Railways and Airfields Conference, Athens, 28 June 2017



## Outline

- Stationarity?
- Drivers of change
- Responses to change
- Takeaways and summary

## Is Stationarity a Good Assumption?

- Stationarity
  - Assumption in time series data that mean, variance and autocorrelation structure do not change over time
  - Should we be designing, constructing and managing pavements assuming that the conditions under which they operate and the functionality desired by the public will be similar to now?
- An exploration of these questions for my state, which may have implications for others

## What Causes Institutional Change?

- Changes in institutional choice set
  - Knowledge of ways to organize your enterprise
- Changes in technology
- Long-run changes in relative factor and product prices
- Changes in other institutional arrangements
  - Societal changes that interact with your enterprise

AN ECONOMIC THEORY OF INSTITUTIONAL CHANGE: INDUCED AND IMPOSED CHANGE Justin Yifu Lin, Cato Journal, 9:1, 1989

#### What is Chosen (Induced) vs. Imposed Change?

- Change that we choose because it benefits us
- Change that is imposed by others or circumstances
- We are instinctively opposed to making changes that we haven't chosen for ourselves
- Hypothesis: pavement enterprise needs to proactively choose to change or it will be imposed, with negative consequences



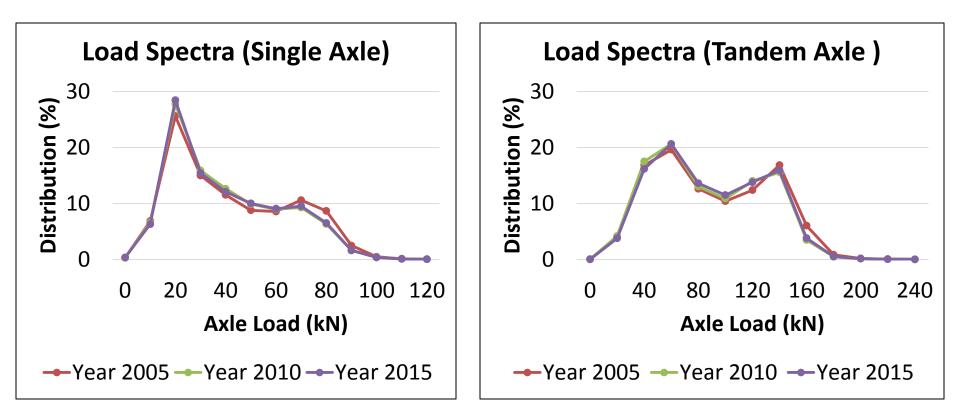
Philip Cox-Hynde, UK Civil Service Training Journal, 2017 https://www.trainingjournal.com/articles/opinion/imposed-vs-chosen-change-managing-change-business ; cartoon: hrdevelopmentinfo.com

#### Major Drivers of Change in the Pavement Enterprise:

- Population growth, changes in freight vehicle travel, vehicle ownership, urbanization and de-ruralization,
- Sustainability and population growth
  - Resource depletion, toxicity
  - Climate change, resilience
- Automation and vehicle technology, information technology
- Cost, financing and lack of confidence in government to deliver pavement efficiently
- Jobs, workforce
- The forgotten half
- Pavement values: what do our customers want? Are we communicating with them?

#### Truck traffic axle weights increasing?

- State-wide average axle loads (115 WIM stations) virtually unchanged in 10 years
- Gross vehicle weights slightly reduced

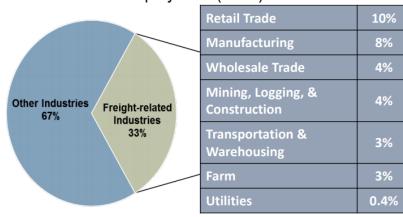


## Freight Traffic is Increasing and Changing

- Freight is increasing
  - Economic growth, increasing population
  - Trade-driven economy, good jobs without college
- Changes in patterns of freight:
  - Last mile
    - Increasing household deliveries on local streets of purchases from internet
    - More short-haul delivery trucks in residential areas
    - Will be increasingly natural gas or electric

#### 1/3 of California's Jobs and Economy

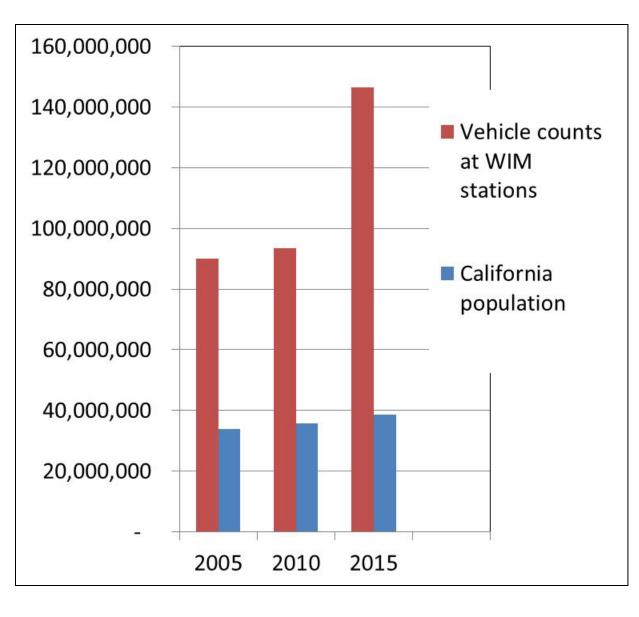
**California Industry Employment Composition** 



Total Employment (2014): 16 Million

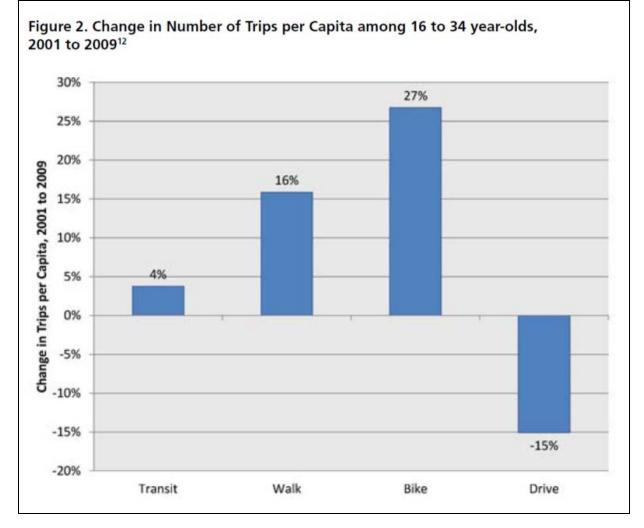
Source: EDD, Labor Market Information Division, 2015

## Freight growth: more trucks



- 62% increase
   in truck
   counts vs 14%
   growth in
   population
- Short-haul:
   69% increase
- Long-haul:
   59% increase

## What kind of pavement will we need in the future?

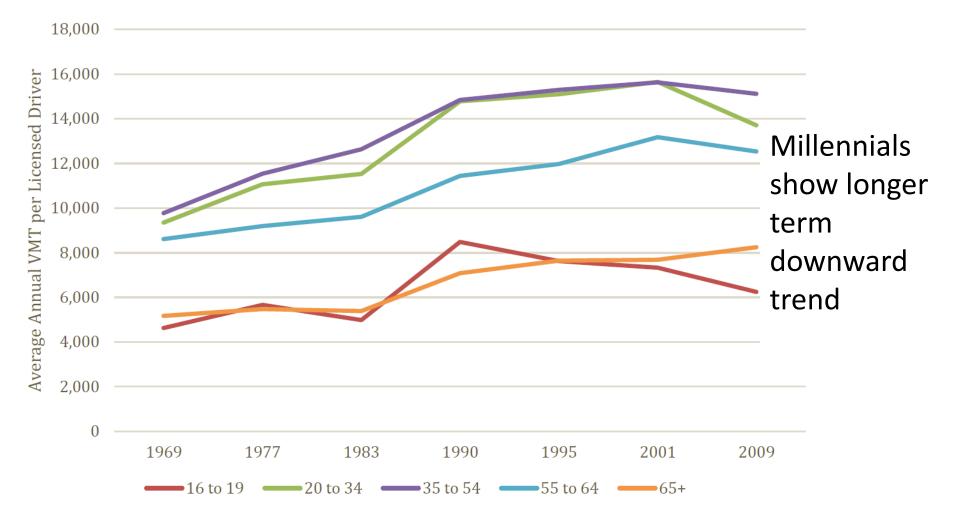


Millennials driving the trend; may not just be recession

Less interested in cars; use of technology to connect instead of travel; more interested in walkable, bikeable cities

NCST white paper, Feb 2016, What affects US passenger travel? Current trends and future perspectives; US PIRG, Oct 2014, Millennials in Motion

## How much pavement will we need in the future? Annual travel per driver by age category

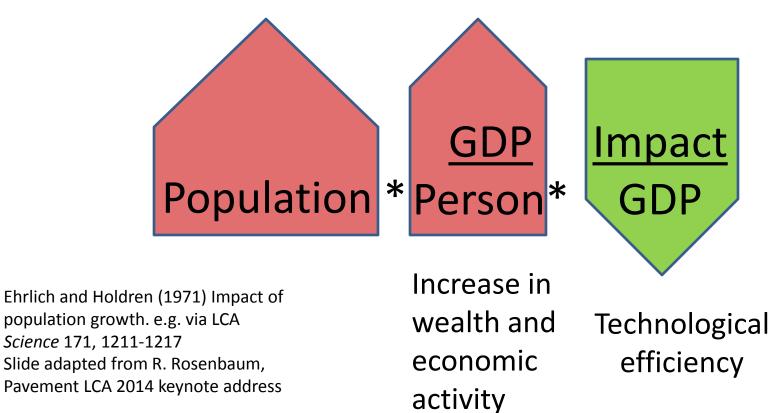


NCST white paper, Feb 2016, What affects US passenger travel? Current trends and future perspectives; US PIRG, Oct 2014, Millennials in Motion

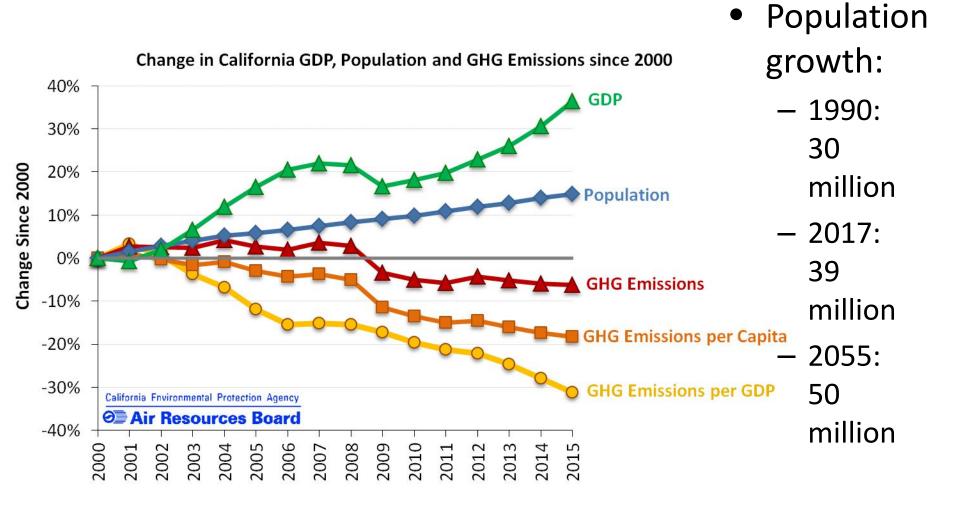
#### Sustainability:

Master equation for environmental impacts

Environmental impact =

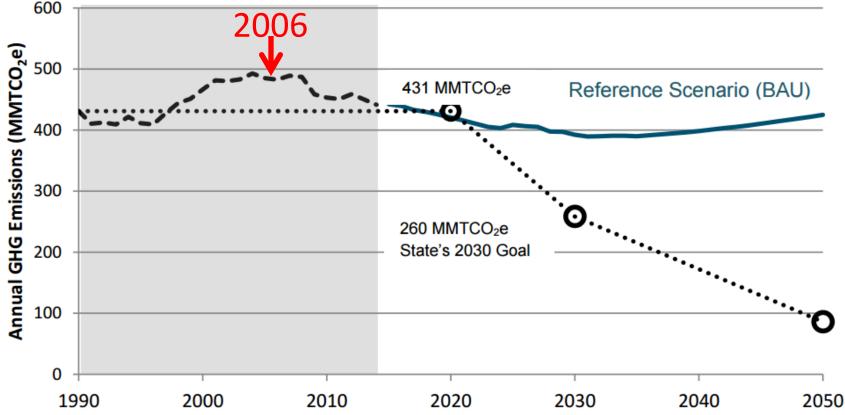


#### Climate Change: are state goals achievable based on response to climate change law passed in 2006?



## Climate Change: road transport related strategies planning to 2030 and 2050

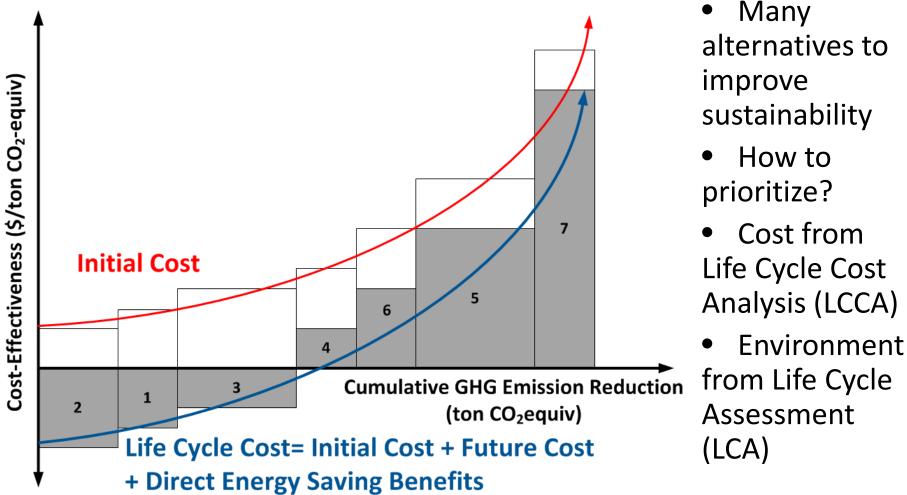
- Vehicle fuel vehicle changes: Natural gas, Electric
- Complete streets



Air Resources Board Climate Scoping Plan

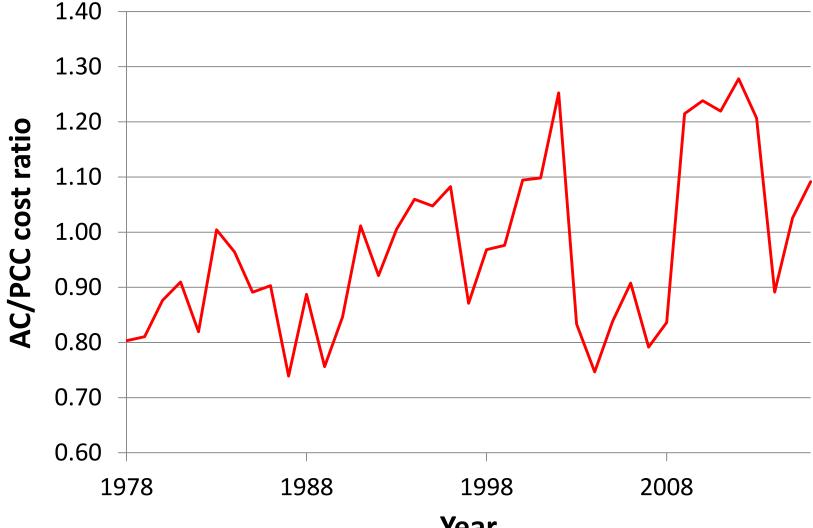
#### What Should be Done for Sustainability?

Bang for your buck metric: \$/ton CO<sub>2</sub>e vs CO<sub>2</sub>e reduction



Adapted from Lutsey, N (2008) Institute of Transportation Studies, University of California, Davis, Research Report UCD-ITS-RR-08-15

#### Maintaining competition in pavement California Relative Asphalt and Concrete Costs 1978-2017



Year

Hauling our road materials damages the roads Projected Growth in Freight Ton and Values 2012 to 2040

- Freight mass throughput +47%, value +95%
- Demolition, gasoline, gravel and crushed stone, crude oil

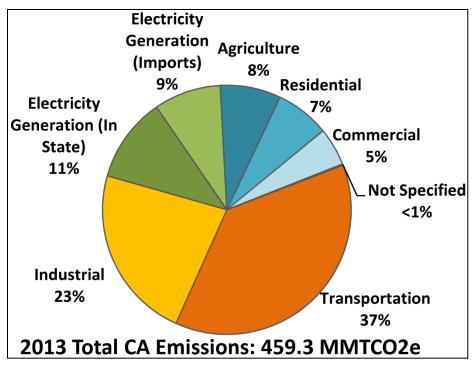
	Weight			Weight	
Top 2012 Commodities	(in ktons)	Share	Top 2040 Commodities	(in ktons)	Share
Waste and scrap	214,845	15.9%	Waste and scrap	275,456	13.9%
Gasoline	147,106	10.9%	Nonmetallic mineral products	207,374	10.5%
Nonmetallic mineral products	140,453	10.4%	Gravel and crushed stone	168,448	8.5%
Gravel and crushed stone	124,133	9.2%	Gasoline	138,305	7.0%
Crude petroleum	86,022	6.4%	Other agriculture products	126,523	6.4%
Other agriculture products	63,217	4.7%	Crude petroleum	100,427	5.1%
Natural sands	54,886	4.1%	Other foodstuffs	82,896	4.2%
2012 All Commodity Total	1,351,574		2040 All Commodity Total	1,980,491	

Source: FHWA Freight Analysis Framework Summary Statistics

#### How do Pavements Contribute to California GHG Emissions?

- Out of 459 MMT CO2e in 2013
  - On road vehicles 155 MMT
    - Optimizing smoothness, texture, deflection energy on state network reduces by 1% of this
  - Refineries 29 MMT
    - Paving asphalt about 1 % of refinery production
  - Cement plants 7 MMT
    - Paving cement about 5 % of cement plant production
  - Commercial gas use 13 MMT
    - Very small amounts for asphalt mixing plants
  - Mining 0.2 MMT
    - Large portion for aggregate mining

http://www.arb.ca.gov/cc/inventory/data/data.htm



#### Possible

#### Pavement Reductions MMT/year

- Rolling resist to optimum1.5Cement use 50%0.2Asphalt use 50%0.7
- Demo, oil, stone haul 10% 0.6
  - TOTAL 2.9

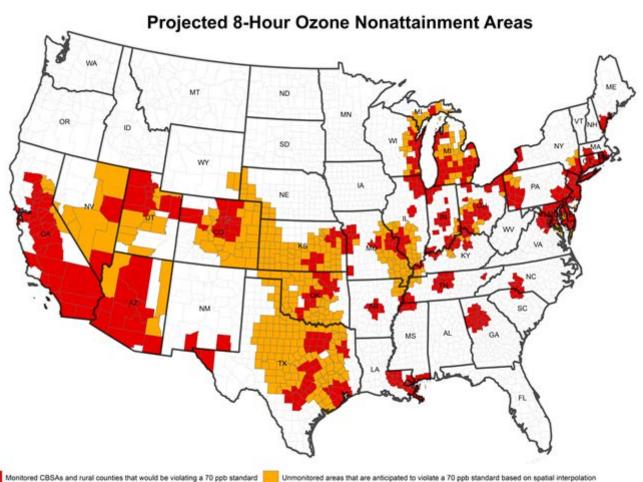
## Air Pollution Toxicity

• Transportation related factor of most importance is air pollution, especially diesel trucks

 Requiring changes in vehicle fuel sources

http://graphics.latimes .com/responsivemappollution-burdens/

https://www.uschamber.co m/issue-brief/ozonenational-ambient-airquality-standards



Source: URS, August 3, 2015.

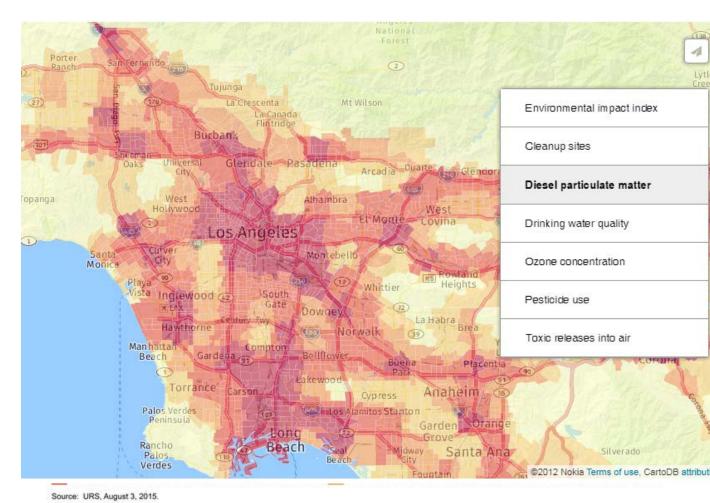
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Pavement Materials Resource Depletion and Replacement

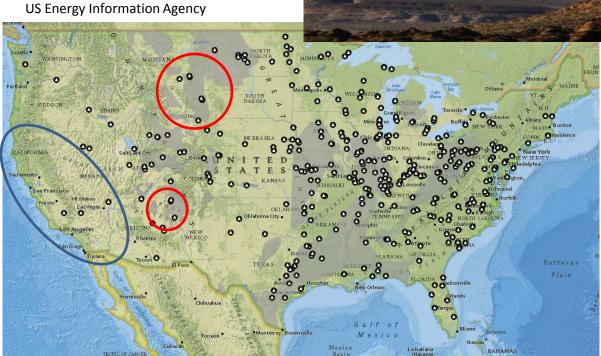
- Aggregate:
  - Local future shortages and quality issues
  - Large quantities of aggregate moved on the roads, lots of fuel, high levels of damage
- Bitumen:
  - US: supply and demand balanced, because large amounts of asphalt are coked for liquid fuels
  - Europe: oversupply of asphalt?
  - If oil demand for transportation fuel diminishes, there is a nearly infinite future supply of asphalt



### Pavement Materials Resource Depletion and Replacement Ross D. Franklin AP

- Cement
  - Fly ash from coal burning reduces CO2 in concrete, reduces ASR
  - Main fly ash sources for California closing
  - Transportation distances long for other sources
  - Market is 0.7
     to 1.1 MMT
     per year
  - Need natural pozzolans with low CaO

Caltrans/industry fly ash shortage report 2016 US Energy Information Agency



#### **Environmental Product Declaration (EPD)**

- Pilot Caltrans program for requiring EPDs for concrete, asphalt, steel expected in 2018; many issues to resolve
- Within 5 years expect materials producers will be competing on impact + cost, as in Netherlands, France and soon Sweden, UK



#### **Environmental Facts**

Functional unit: 1 metric ton of asphalt concrete

Primary Energy Demand [MJ]	4.0x10 <sup>3</sup>
Non-renewable [мл]	3.9x10 <sup>3</sup>
Renewable [мJ]	3.5x10 <sup>2</sup>
Global Warming Potential [kg CO <sub>2</sub> -eq]	79
Acidification Potential [kg SO <sub>2</sub> -eq]	0.23
Eutrophication Potential [kg N-eq]	0.012
Ozone Depletion Potential [kg CFC-11-eq]	7.3x10 <sup>-9</sup>
Smog Potential [kg O <sub>3</sub> -eq]	4.4
Boundaries: Cradle-to-Gate Company: XYZ Asphalt RAP: 10%	

Example LCA results

Adapted from N. Santero

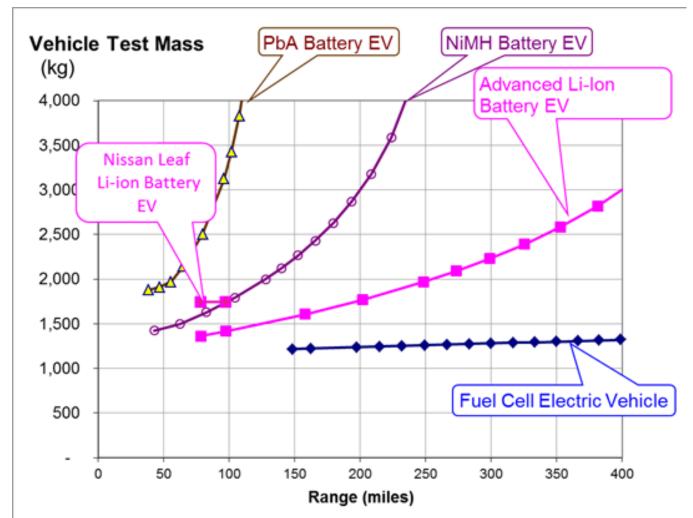
# Climate Change and Air Pollution: diesel to natural gas then electric vehicles

- Natural gas trucks as 20 year bridge to electric
  - Trucks: Increase vehicle weight by 250 to 750 kg depending on range
- Electric vehicles
  - Cars: currently about 30% heavier for about 30% of the range
  - Trucks: small trucks available, tractors for semitrucks under development; likely heavier than diesel

## Electric vehicles and weight

- Range and battery technology control weight
- Trucks use same technologies as cars, more range
   = add more batteries
- Fuel cells questionable

http://www.cleancaroptions.com/ html/ev\_weight.html DeMorro, 2015, https://cleantechnica.com/2015/0 3/17/lighter-batteries-may-provetipping-point-electric-vehicles/



Long-haul truck shown at Tesla shareholders meeting June 2017 Tesla Semi to reach 'scale production' in '18 to 24 months' and will be unveiled with something unannounced, says Elon Musk

Fred Lambert - Jun. 7th 2017 5:26 am ET 🎔 @FredericLambert





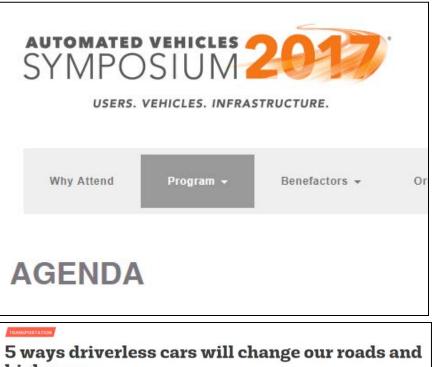
## Autonomous Vehicle Technology

- List of companies with autonomous vehicle testing permits in California (15 June 2017): – Valeo North America, Inc.
  - Volkswagen Group of America,
  - Mercedes Benz,
  - Waymo
  - Delphi Automotive
  - Tesla Motors
  - Bosch
  - Nissan
  - GM Cruise LLC
  - BMW
  - Honda
  - Ford
  - Zoox, Inc.
  - Drive.ai, Inc.
  - Faraday & Future Inc.
  - Baidu USA LLC
  - Wheego Electric Cars Inc.

- NextEV USA, Inc.
- Telenav, Inc.
- NVIDIA Corporation
- AutoX Technologies Inc
- Subaru
- Udacity, Inc
- Navya Inc.
- Renovo.auto
- UATC LLC (Uber)
- PlusAi Inc
- Nuro, Inc
- CarOne LLC
- Apple Inc.
- Bauer's Intelligent Transportation
- Pony.Al
- TuSimple
- Jingchi Corp

## Autonomous Vehicle Technology

- Automated Vehicles Symposium2017
  - One presentation that mentions infrastructure
- Infrastructure focus on detection and guidance, not pavement condition
- Will cause increase in car travel?



highways Our entire transportation infrastructure needs to move away from a design focus on human drivers

TWEET 🕈 SHARE 👩 PIN



### Autonomous Vehicle Technology: effects on pavements

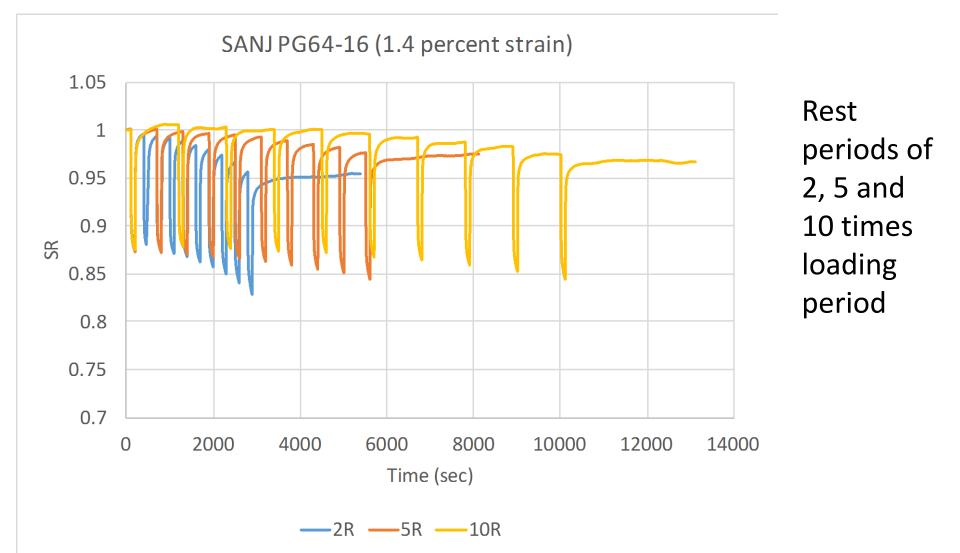
- Fully automated truck platooning expected to deploy starting 2020 and broad implementation by 2030

   3 to 13% fuel savings
- Asphalt surfaced pavement
  - Channelized traffic if wander is not programmed into guidance, faster rutting and fatigue
  - Truck platooning will reduce thixotropic recovery times at high speeds, larger strains
- Concrete surfaced pavement
  - Can program trucks off of the slab edge, lower stresses
  - Difficulties discerning marking paint

ATA future truck white paper White Paper:

Automated Driving and Platooning Issues and Opportunities Sept 2015; fuel savings various Freightliner, Volvo,

### Recovery time and thixotropy

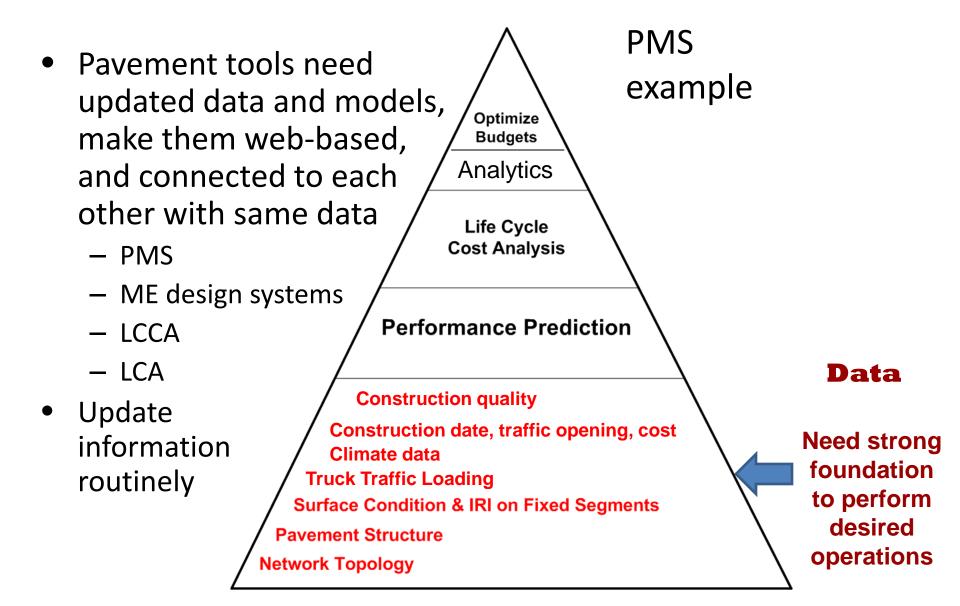


#### Information technology and pavement

- Freight and personal users will soon have better information about pavement and use it to make route decisions using cell phone apps:
  - Smoothest route
  - Least fuel use route
  - Least freight damage route
- Pavement roughness condition will soon be crowd-sourced to public and road owner with \$500 systems
  - Can get IRI using calibrations like Class 1 profilers



#### Information Technology and Pavement



#### Cost, Financing and Confidence in Government to Deliver Pavement Efficiently



California gas tax increase is now law. What it costs you and what it fixes

- Tax increase
  - April 4 passed
     by legislature
     (2/3 majority)
  - April 28
     signed
  - First increase since 1993
- \$2.5 billion per year for state highways
- \$2 billion for local roads

#### Cost, Financing and Confidence in Government to Deliver Pavement Efficiently

Gas tax vote prompts recall campaign against Southern California Democrat

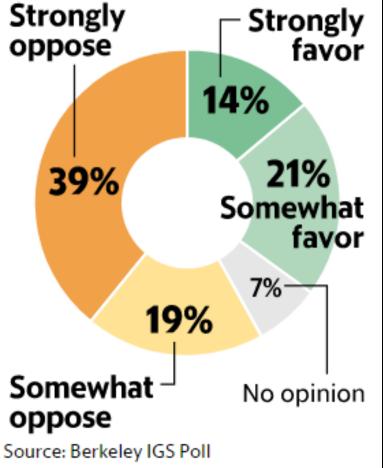
Initiative filed to repeal California gas tax increase Negative reaction
 April 11
 to June
 15

Main arguments:

- Government is wasteful in road spending
- Road taxes not spent on roads
- "Why can't roads be made to last longer?"
- "Why are roads so expensive?"

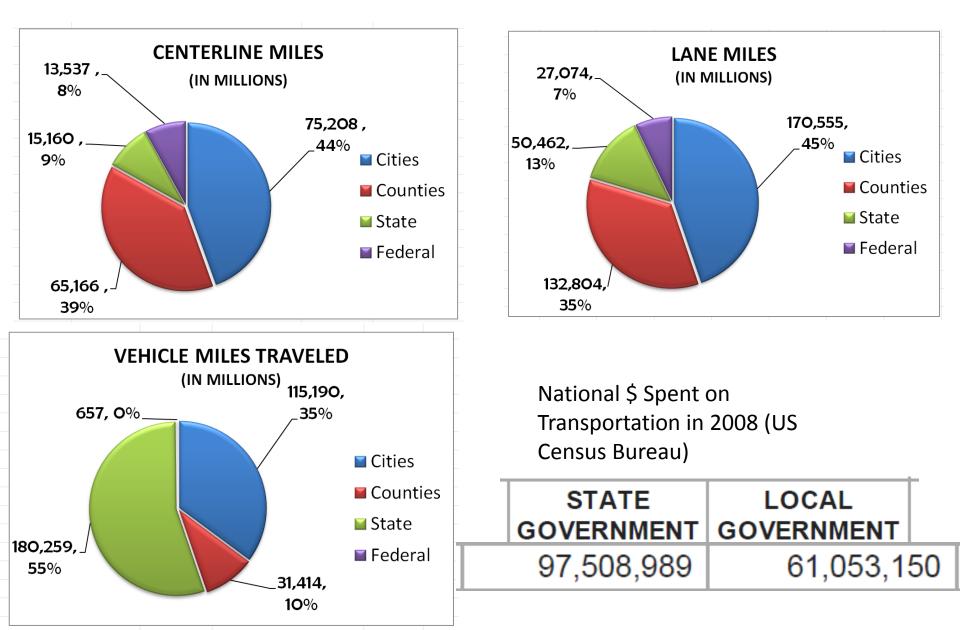
#### IGS POLL: GAS TAX

More than half of California's registered voters oppose the new state law raising taxes on gas and vehicle registration fees.



Cost, Financing and Confidence in Government to Deliver **Pavement Efficiently**  Poll taken June 8 If you don't like California's gas tax increase, you're not alone

#### The Forgotten Half of Our Pavements

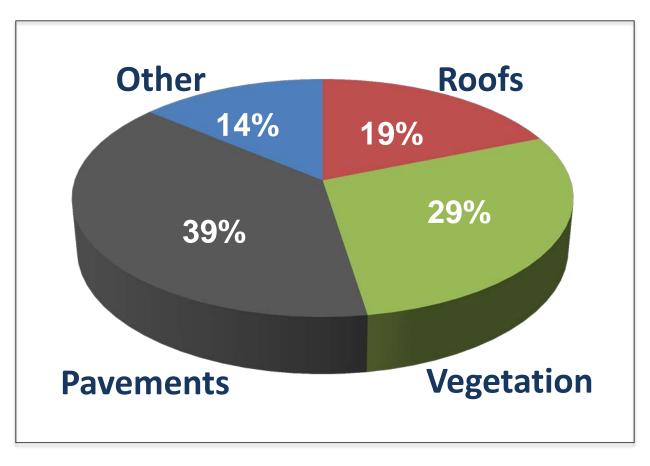


#### Urban pavements fail because of utilities

- Most urban pavements are scaled down highway pavements
- Invent new materials and structures to handle utilities?



# Pavements are an important part of the urban environment



Sacramento

# Other issues with current approach to urban pavement

- Active transportation
  - Street geometric and surface designs generally don't consider it
  - Bike path and trails are scaled down highway pavement designs
- Urban forests
  - Impermeability
  - Pavement and root growth
- Noise
  - Tire pavement noise at higher speeds
  - Non-absorptive for noise



Land8.com

#### Pavements = urban hardscape not just roads and streets

W Madison St

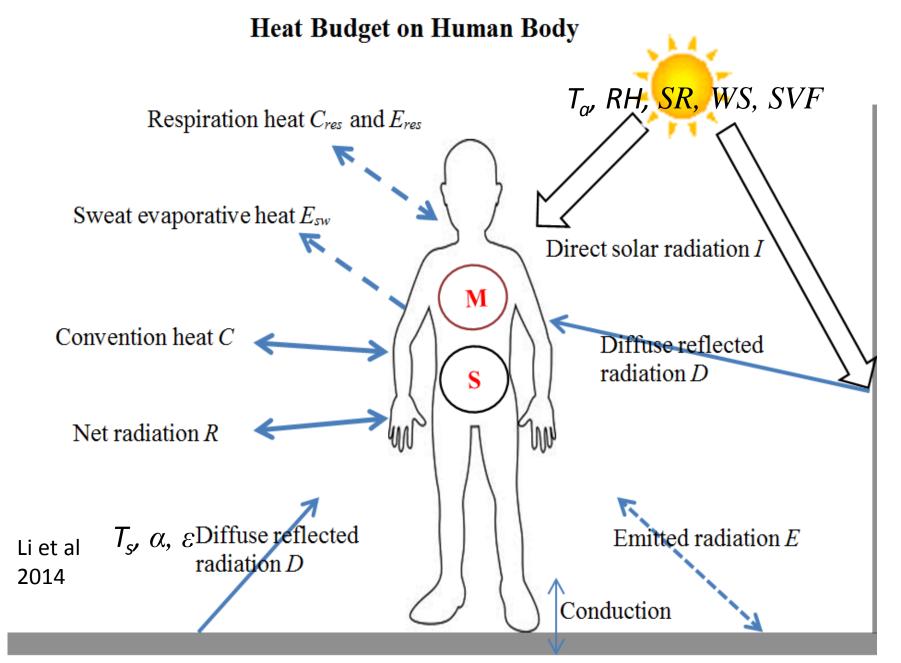
W Monroe St

WiMonroe S



W Madison

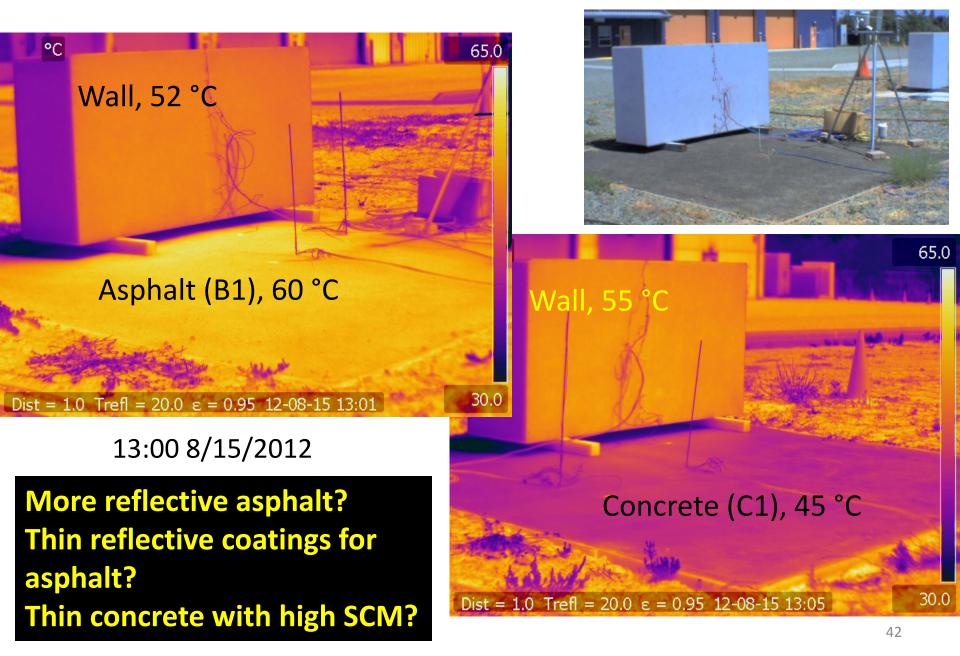
- Tire pavement noise
- Human thermal comfort
- Pedestrian and bicycle functionality
- Better interaction with urban forestry



*M* is the metabolic rate (W/m<sup>2</sup>). *W* is the rate of mechanical work (W/m<sup>2</sup>). *S* (W/m<sup>2</sup>) is the total storage heat flow in the body.

41

#### Thermal Impacts of Albedo and Reflection



# Status of local government pavement knowledge in California

- Agencies
  - Some agencies have some staff with university training in pavement; other agencies have no staff with university or other formal pavement training
  - Heavy reliance on consultants
- Consultants
  - Some have excellent staff
  - Many have no staff with university or other formal training
- Issues:
  - Old, poorly understood specifications, design methods
  - Construction quality control for most important issues: compaction, concrete mix designs

## Jobs and Workforce

- Supply:
  - Are we producing enough pavement students?
  - Are students interested in pavement?
  - What today's students want:
    - Solve important problems
    - Be able to use their creativity and skills
    - Have positive impact
    - This is same as other generations
- Demand:
  - Are government and industry prioritizing hiring students with pavement training, or generalists?
  - Are we making use of and rewarding pavement training?
  - Are we providing the environment to attract people?

#### Takeaways: do we have stationarity?

- Stationarity is not always true
  - Demands on pavements can change, potentially rapidly
  - People want more from pavement, and more people are involved in decision-making
- Changes in California
  - Number of trucks increasing rapidly
    - Especially last mile due to internet purchase deliveries
  - Electric vehicles and natural gas may increase loads some
  - Autonomous vehicles may cause important loading patterns in space and time
  - Increasing attention to local roads, multi-functionality
  - Users will soon have much better information about pavement and make decisions with it
  - Low willingness to pay for state-wide tax for pavement

#### Takeaways: what do our customers want?

- Pavements we deliver must do more:
  - More sustainable
    - Cost
    - Smooth
    - Construction delay
    - Small CO2e impacts, more important local effects
- Handle multiple modes and purposes in urban areas
  - Think of pavement as hardscape, not just for vehicles
    - Active transportation (bikes, walking)
    - Local thermal environment, tree-compatible pavement
    - Stormwater
    - Utilities

# Takeaways: what do we need to do differently?

- Make pavement last longer for same cost, faster repairs
- Make all tools and data web-based for continuous update
- Deliver innovation and training that is developed to match the capabilities of the workforce
  - Do \$5 of development for each \$1 of research
  - Find solutions for local government
- Increase the capabilities of the work force, and put value on pavement knowledge in employment
- Think beyond asphalt vs concrete, think pavement
- Find a way to communicate to public about pavement
  - Rightly or wrongly, government is not seen as cost-effective deliverer of these services
  - Communicate the science and technology innovations
  - They are interested!

### Change Management in Government: Obstacles

- Leaders are chosen based on command of policy, technical expertise, or political connections, not ability to lead change
- Leaders usually have limited time in office
- Rules in place to limit corruption also tend to limit flexibility
- Penalties for failure are always larger than any rewards for success
- In a democracy there are many stakeholders with different goals to manage

Frank Ostroff, Harvard Business Review, 2006 https://hbr.org/2006/05/change-management-in-government

### Change Management in Government: Steps to Success

- Identify improved performance against mission as the fundamental objective of the transformation effort
- Win over internal and external stakeholders
- Create a road map
  - Vision, priorities, program
  - Make the road map a part of the culture of the organization
- Take a comprehensive approach
  - leadership, structure, processes, infrastructure (including technology), people, and performance management
- Need leaders
  - Reward their efforts

### Change Management in Government: Communicating with the Public

- What is our message about what is being done that is positive and better
- Livability and Quality of Life, relate to people's lives
  - Access by different modes, shared prosperity, environmental impact, public participation, safe and healthy communities, wise use of resources
- Relate to people's pocketbooks
- Set goals and measure and report progress
- Have the right messengers
  - Trusted messengers who are informed about pavement progress, not necessarily pavement engineers!

#### California Pavement Research Road Map Areas

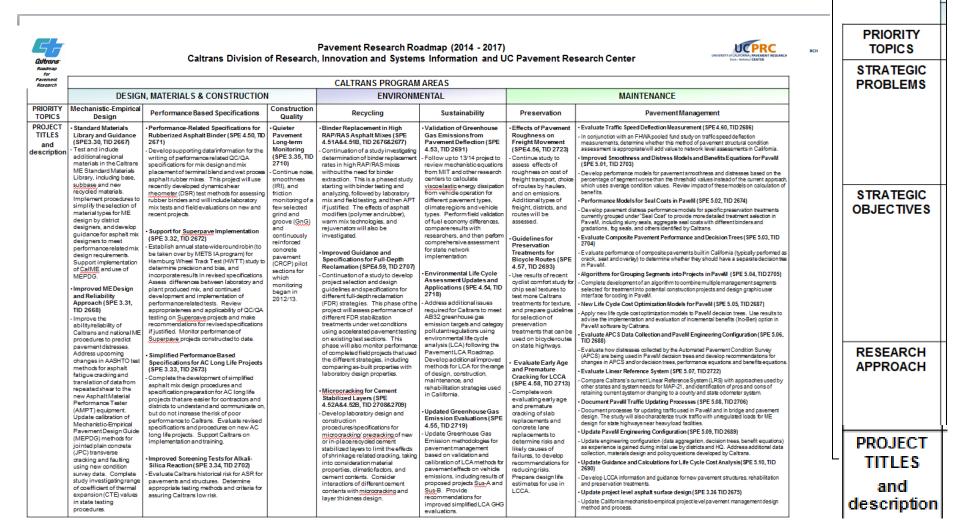
- Design, materials and construction
  - Mechanistic-empirical design
  - Performance based specifications
  - Construction quality
- Environmental, maintenance
  - Recycling technologies
  - Life cycle assessment (LCA)
- Maintenance
  - Preservation technologies
  - Pavement management
    - Pavement management system (PMS)
    - Life cycle cost analysis (LCCA)

#### Communication of pavement road map

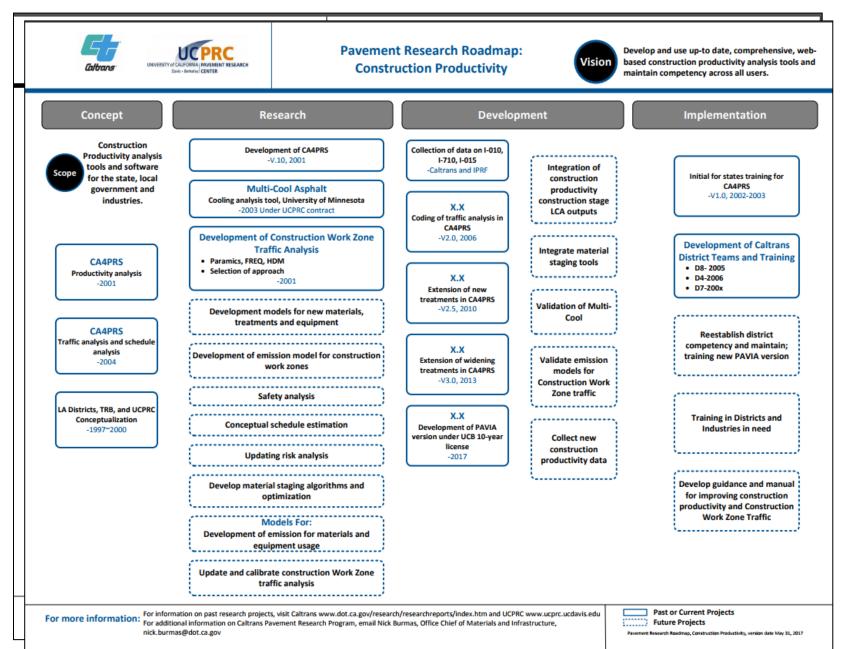
**Caltrans** Roadmap

> for Pavement Research

 Entire program communicated in plain language on two A3 pages



#### Research arc in detailed road maps for each subject area



#### Local Government: the forgotten 50%

**Technical Advisory Group:** League of Cities, Association of Counties

Modeled on Minnesota, lowa programs

State and federally funded research and development for highways

UNIVERSITY of CAL Davis • Berkeley CENTER **City and County Pavement** Improvement Center

Training, development

of appropriate technology, specialized research for local governments

Partnered with teaching universities





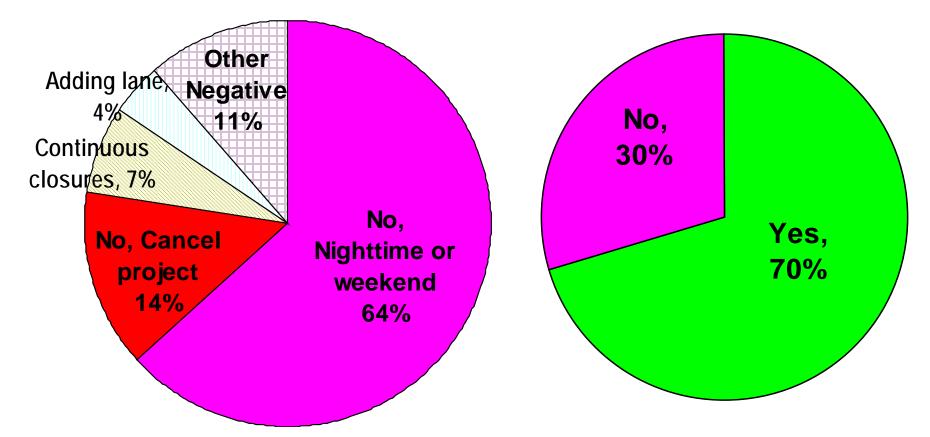


#### Selection of Closure Type for Concrete Freeway Reconstruction using CA4PRS

Construction	Schedule Comparison		Cost Comparison (\$M)			Max. Peak
Scenario	Total Closures	Closure Hours	User Delay	Agency Cost	Total Cost	Delay (Min)
1 Roadbed Continuous	2	400	5.0	15.0	20.0	80
72-Hour Weekday Continuous	8	<i>512</i>	5.0	16.0	21.0	50
55-Hour Weekend Continuous	10	550	10.0	17.0	27.0	80
10-Hour Night-time Closures	220	2,200	7.0	21.0	<u>28.0</u>	30

#### I-15 Devore Web-Surveys Public Perception Changes

#### **Before- construction** After-construction



Do you support 72-h (3-weekday) Do you support future Weekday closures? "Rapid-Rehab" projects?

## Expectations for Transportation Segment of the Economy

#### S. David Freeman

UCLA Seminar: Infrastructure Investment for Sustainable Growth (October, 2010)

- Transportation sector about to enter a period of profound change similar to energy sector in 1970s and 1980s
- Regulations will be implemented requiring increasing energy efficiency and environmental performance
- Transformation necessary to maintain economic competitiveness of US
- We are no longer rich enough to make many mistakes and still be able to achieve our goals
- I would add: we need to better focus our research, translate our results into practice, and communicate to the public to achieve our goals

# Thanks to many colleagues

## Questions?